

# LECTURE 3: DISCRETE EVENT SYSTEMS II

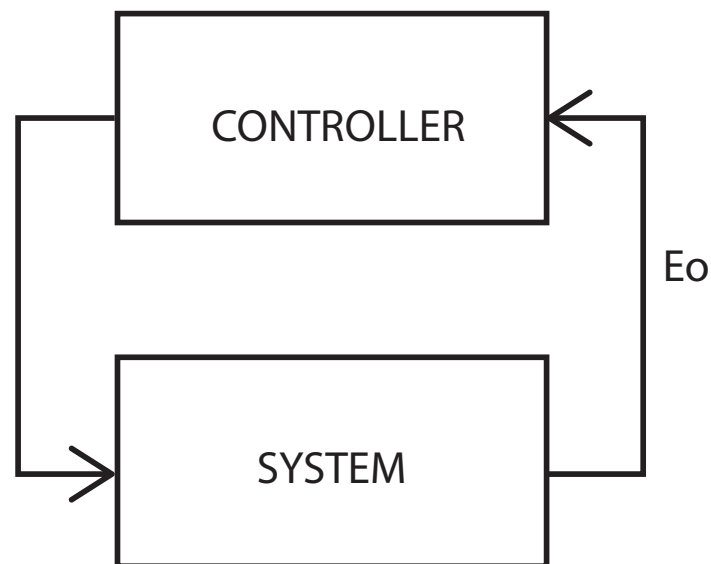
Modeling and Simulation 2  
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**Definition: (Observable events)**

The event set can be generally divided into observable sets and unobservable sets.

$$E = E_o \cup E_{uo}$$



UNOBSERVABLE EVENTS

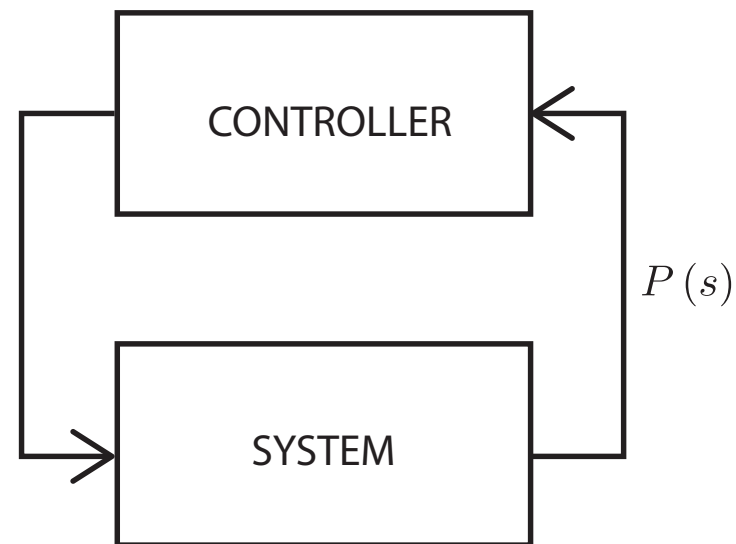
**Definition: (Natural projection)**

Natural projection  $P : E^* \rightarrow E_o^*$  onto the set of observable events is defined by

$$P(\epsilon) = \epsilon$$

$$P(e) = \begin{cases} \epsilon, & \text{if } e \in E_{uo} \\ e, & \text{if } e \in E_o \end{cases}$$

$$P(se) = P(s)P(e), \forall s \in E^* \text{ and } e \in E$$



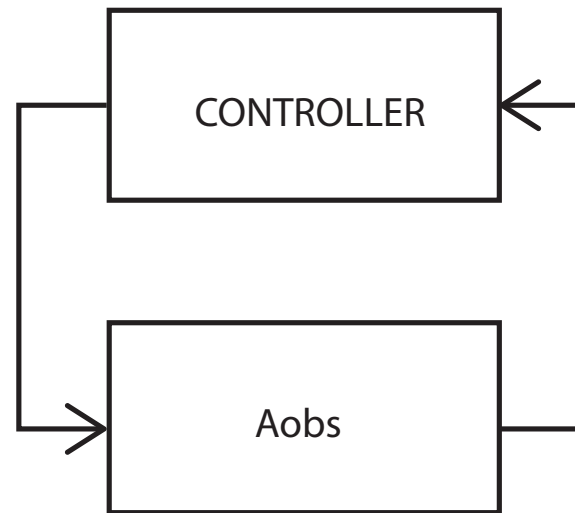
# NATURAL PROJECTION

**Definition: (Inverse projection)**

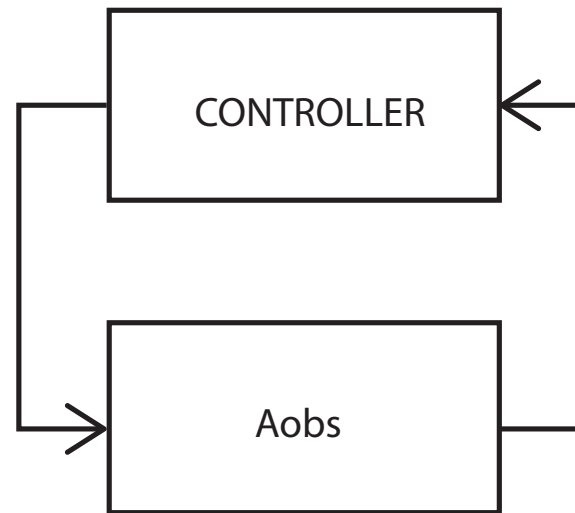
Inverse projection  $P^{-1} : E_o^* \rightarrow 2^{E^*}$  onto the set of all events is defined by

$$P^{-1}(t) = \{s \in E^* : P(s) = t\}$$

INVERSE PROJECTION



OBSERVER MODEL



$$A_{obs} = (Q_{obs}, E_o, g_{obs}, q_{0,obs}, Q_{m,obs})$$

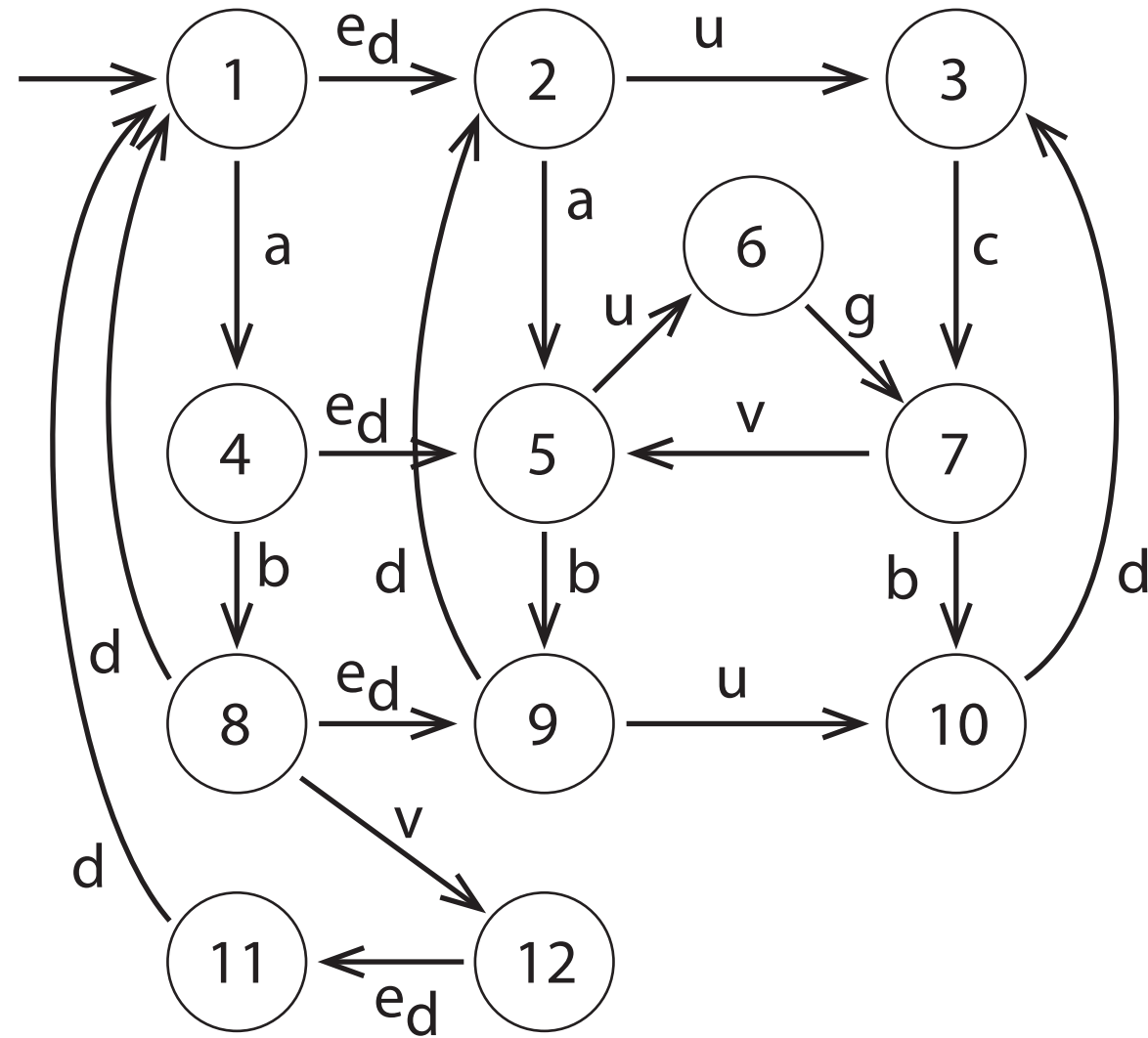
$$Q_{obs} = 2^Q \setminus \emptyset$$

$$q_{0,obs} = UR(q_0)$$

$$g_{obs}(S, e) = UR(\{q \in Q : \exists q_e \in S, q \in g(q_e, e)\})$$

$$Q_{m,obs} = \{S \subseteq Q : S \cap Q_m \neq \emptyset\}$$

# OBSERVER MODEL



OBSERVER MODEL

**Problem: (Diagnostics)**

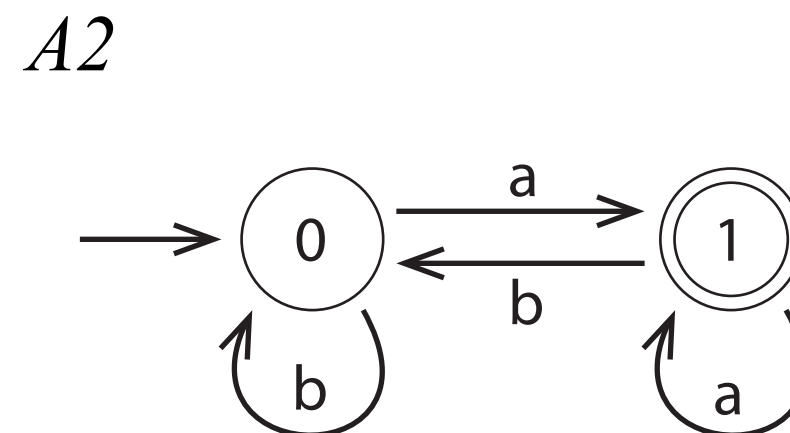
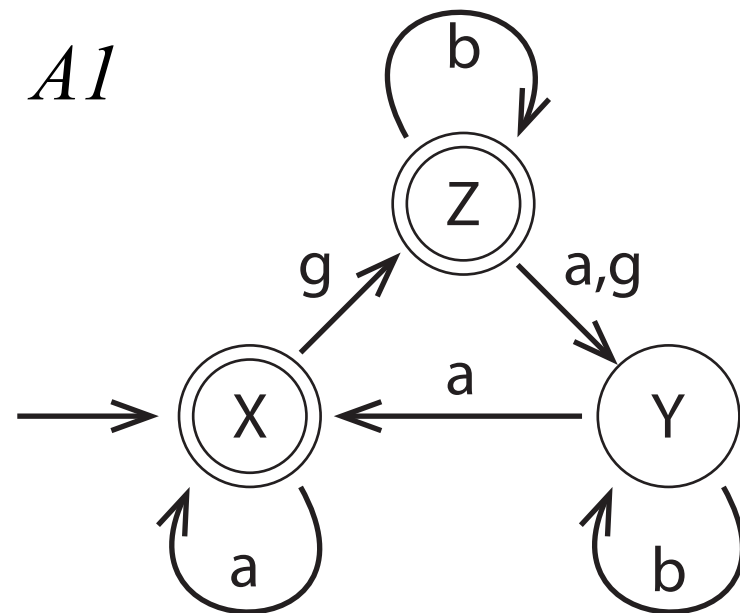
For an automata  $A$  and a set events  $E = E_o \cup E_{uo}$ , determine whether an unobservable event  $e$  occurred with certainty after observing a given string  $s \in E_o^*$

DIAGNOSER CONCEPT



# Definition: (Composition operations)

For two automata  $A1$  and  $A2$ , one can define several compositions: concatenation, Kleene closure, union, parallel, and product.



COMPOSITION

**Definition: (Composition operations)**

For two automata  $A_1$  and  $A_2$ , one can define several compositions: concatenation, Kleene closure, union, product, and parallel.

$$A_i = (Q_i, E_i, g_i, \Gamma_i, q_{0i}, Q_{mi})$$

$$A_1 \times A_2 = Ac(Q_1 \times Q_2, E_1 \cap E_2, g, \Gamma_{1 \times 2}, (q_{01}, q_{02}), Q_{m1} \times Q_{m2})$$

$$g((q_1, q_2), e) = \begin{cases} (g_1(q_1, e), g_2(q_2, e)), & \text{if } e \in \Gamma_1(q_1) \cap \Gamma_2(q_2) \\ \text{undefined,} & \text{otherwise} \end{cases}$$

PRODUCT COMPOSITION

### **Definition: (Composition operations)**

For two automata  $A_1$  and  $A_2$ , one can define several compositions: concatenation, Kleene closure, union, product, and parallel.

$$A_i = (Q_i, E_i, g_i, \Gamma_i, q_{0i}, Q_{mi})$$

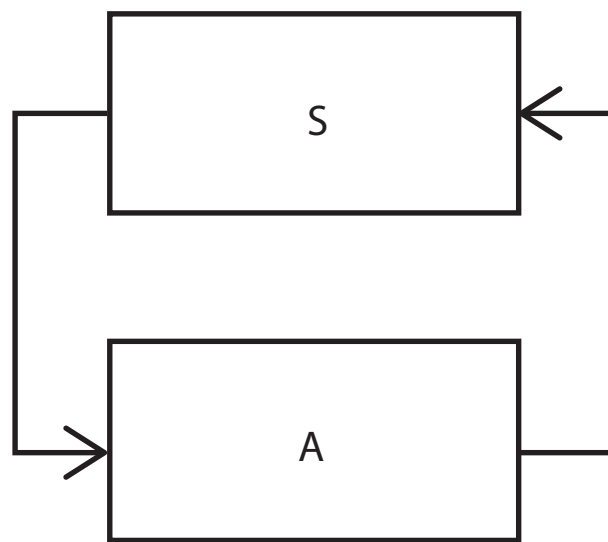
$$A_1 || A_2 = Ac (Q_1 \times Q_2, E_1 \cup E_2, g, \Gamma_{1||2}, (q_{01}, q_{02}), Q_{m1} \times Q_{m2})$$

$$g((q_1, q_2), e) = \begin{cases} (g_1(q_1, e), g_2(q_2, e)), & \text{if } e \in \Gamma_1(q_1) \cap \Gamma_2(q_2) \\ (g_1(q_1, e), q_2), & \text{if } e \in \Gamma_1(q_1) \setminus E_2 \\ (q_1, g_2(q_2, e)), & \text{if } e \in \Gamma_2(q_2) \setminus E_1 \\ \text{undefined}, & \text{otherwise} \end{cases}$$

# PARALLEL COMPOSITION

**Definition: (Required and Admissible languages)**

For two automata  $A1$  and  $A2$ , one can define several compositions: concatenation, Kleene closure, union, product, and parallel.



$$L_r \subseteq \mathcal{L}(S/A) \subseteq L_a$$

AUTOMATA SPECIFICATION

**Definition: (Automata specifications)**

Automata can be used to formulate specifications.

$$L_a = \mathcal{L} (A_{spec} || A) , \text{ or}$$

$$L_a = \mathcal{L} (A_{spec} \times A)$$

**Example: (Illegal states)**

*Aspec* is constructed from *A* by deleting illegal states.

**Example: (State splitting)**

*Aspec* requiring knowledge of how a state was reached is constructed from *A* with additional state splitting.

**Example: (Event alternance)**

*Aspec* is a two state automata with only the two alternating events.

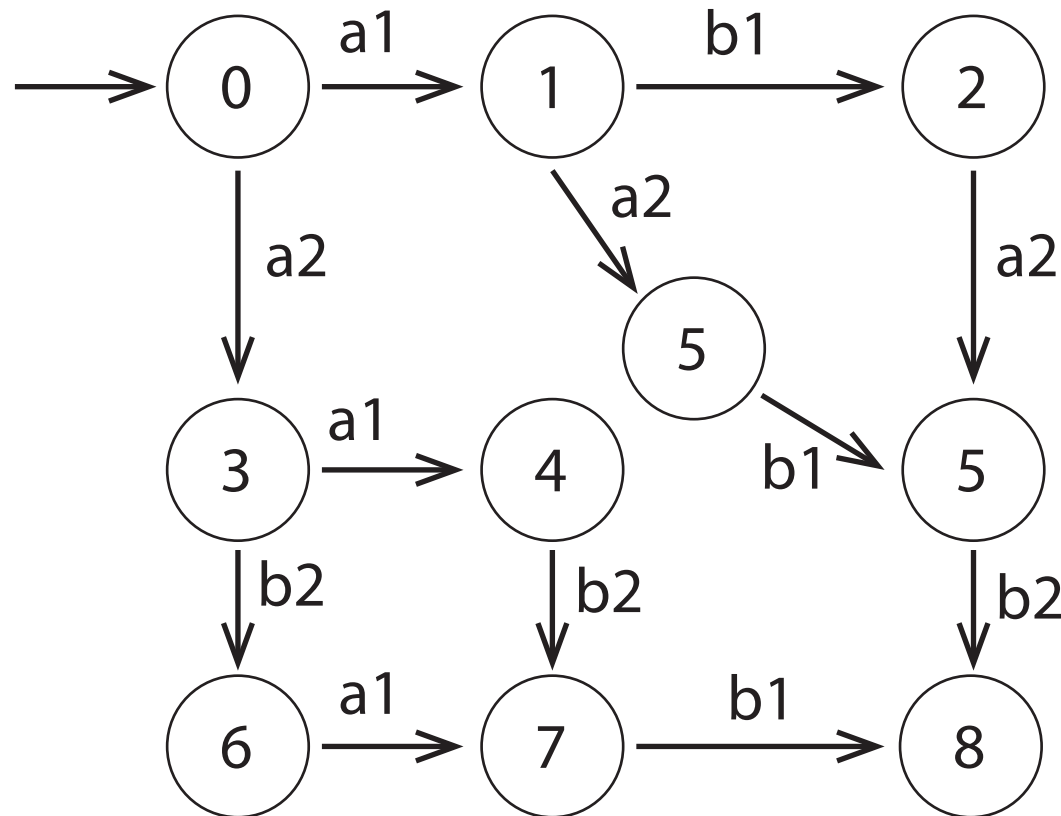
**Example: (Illegal substring)**

*Aspec* forbidding some substring is constructed by building a memory automata.

EXAMPLE SPECIFICATIONS

# Claim: (Formal methods)

Formal methods are required to design specification automata in the presence of uncontrollable and unobservable events.



Example 1:  $E_{uc} = \{a_2, b_2\}$

Example 2:  $E_{uo} = \{a_2\}$

Example 2:  $E_{uo} = \{a_2\}, E_{uc} = \{a_1\}$

# UNCONTROLLABILITY AND UNOBSERVABILITY

### **Theorem: (Controllability Theorem)**

Consider an automaton  $A$  with uncontrollable event sets  $E_{uc}$ .

Consider also the nonempty language  $K \subseteq \mathcal{L}(A)$ . There exists a nonblocking supervisor  $S$  such that

$$\mathcal{L}_m(S/A) = K \text{ and } \mathcal{L}(S/A) = \overline{K}$$

if and only if

- 1)  $\overline{K}E_{uc} \cap \mathcal{L}(A) \subseteq \overline{K}$
- 2)  $K$  is  $\mathcal{L}_m(A)$  – closed

# CONTROLLABILITY

**Definition: (Observability)**

Let  $K$  and  $M = \overline{M}$  be languages over event set  $E$ . Let  $E_c$  be a designated subset of  $E$ . Let  $E_o$  be another designated subset of  $E$  with  $P$  as the corresponding natural projection.  $K$  is said to be observable with respect to  $M$ ,  $P$ , and  $E_c$  if for all  $s \in \overline{K}$  and for all  $\sigma \in E_c$ ,

$$(s\sigma \notin \overline{K}) \text{ and } (s\sigma \in M) \Rightarrow P^{-1} [P(s)] \sigma \cap \overline{K} = \emptyset$$

OBSERVABILITY



### **Theorem: (Controllability and Observability Theorem)**

Consider an automaton  $A$  with uncontrollable and unobservable event sets  $E_{uc}$  and  $E_{uo}$ . Let  $P$  be the natural projection onto the observable events. Consider also the nonempty language  $K \subseteq \mathcal{L}(A)$ . There exists a nonblocking supervisor  $S$  such that

$$\mathcal{L}_m(S/A) = K \text{ and } \mathcal{L}(S/A) = \overline{K}$$

if and only if

- 1)  $K$  is controllable with respect to  $\mathcal{L}(A)$  and  $E_{uc}$
- 2)  $K$  is observable with respect to  $\mathcal{L}(A)$ ,  $P$ , and  $E_c$
- 3)  $K$  is  $\mathcal{L}_m(A)$  – closed

CONTROLLABILITY AND  
OBSERVABILITY THM